

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Kiyofumi SAKAGUCHI, et al. ) : Examiner: George R. Fourson, III  
Application No.: 09/161,774 ) : Group Art Unit: 2823  
Filed: September 29, 1998 ) : Confirmation No.: 8032  
For: PROCESS FOR PRODUCTION OF ) : August 25, 2006  
SEMICONDUCTOR SUBSTRATE ) :

## Mail Amendment

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## FIFTH INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents, other than U.S. patent documents, are enclosed.

## REMARKS

Application No. 10/085,046 claims priority to, among other priority applications, the same Japanese patent applications to which the subject application claims priority.

The following documents were cited in a Japanese Official Action of a foreign counterpart application (Japanese Patent Application No. 10-230926) corresponding to Application No. 10/085,046:

- (1) JP-A 05-021338;
- (2) JP-A 05-211128;
- (3) JP-A 05-218464;
- (4) JP-A 05-283722;
- (5) JP-A 05-217827
- (6) JP-A 05-299362; and
- (7) JP-A 04-034961.

English-language translations of Documents (3), (6), and (7) are also enclosed. English-language Abstracts for Documents (1), (3), (6), and (7) were obtained from a commercial database and are also enclosed. The Japanese Official Action is dated October 11, 2005. A copy of the Japanese Official Action is also enclosed. Applicants submit that EP 0469630 A2, which was cited in the February 10, 1999 Information Disclosure Statement, corresponds to Document (1). Documents (2) and (4) were cited in the November 25, 2003 Information Disclosure Statement, and Document (5) was cited in the October 23, 2003 Information Disclosure Statement.

In Application No. 10/085,046, Applicants copied claims from U.S. Patent Nos. 6,194,245, 6,326,280, 6,426,274, and 6,107,213.

Application No. 10/085,046 published as US 2003/0087503 A1.

FORMAL MATTERS

No fee is believed due; however, any fee required in connection with this paper should be charged to Deposit Account No. 06-1205.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

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FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Dated Submitted to USPTO: August 25, 2006				ATTY DOCKET NO. <b>03500.010530.4</b> (35.C10530 C1/D2)		APPLICATION NO. <b>09/161,774</b>		
				APPLICANT <b>Kiyofumi SAKAGUCHI, et al.</b>				
				FILING DATE <b>September 29, 1998</b>		GROUP <b>2823</b>		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		<b>6,194,245</b>	<b>02/27/2001</b>	<b>Tayanaka</b>	<b>438</b>	<b>57</b>		
		<b>6,326,280</b>	<b>12/04/2001</b>	<b>Tayanaka</b>	<b>438</b>	<b>409</b>		
		<b>6,426,274</b>	<b>07/30/2002</b>	<b>Tayanaka</b>	<b>438</b>	<b>458</b>		
		<b>2003/0087503 A1</b>	<b>05/08/2003</b>	<b>Sakaguchi, et al.</b>	<b>438</b>	<b>406</b>		
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
		<b>5-218464</b>	<b>08/27/1993</b>	<b>Japan</b>			<b>Yes &amp; Abstract</b>	
		<b>5-299362</b>	<b>11/12/1993</b>	<b>Japan</b>			<b>Yes &amp; Abstract</b>	
		<b>4-034961</b>	<b>02/05/1992</b>	<b>Japan</b>			<b>Yes &amp; Abstract</b>	
		<b>5-021338</b>	<b>01/29/1993</b>	<b>Japan</b>			<b>Abstract</b>	
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.